OCT 04 2007

Attorney Docket No. 06500/LH

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Tetsuya SUZUKI et al.

Serial No. : 10/586,918

Filed : July 24, 2006

For : SEMICONDUCTOR OPTICAL DEVICE

HAVING BROAD OPTICAL SPECTRAL LUMINESCENCE CHARACTERISTIC AND METHOD OF MANUFACTURING THE SAME, AS WELL AS EXTERNAL RESONATOR TYPE SEMICONDUCTOR

LASER USING THE SAME

Customer No.: 01933

Art Unit : 2828

Examiner : Not Yet Assigned

Confirm. No.: 8247

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SIR:

Submitted herewith is a copy of the International Preliminary Report on Patentability (IPRP) and Written Opinion, dated July 26, 2007, which were issued in an International Application on which the present application is based. The International Search Report was submitted with the prior IDS filed September 29, 2006. Said IPRP and Written Opinion are identified on the enclosed IDS Form.

The documents identified and discussed in the enclosed IPRP and Written Opinion were submitted to the USPTO with the prior IDS filed September 29, 2006. The English language IPRP and Written Opinion constitute an explanation of relevance of non-English documents identified therein (MPEP 609.04(a) III).

CERTIFICATE OF FACSIMILE TRANSMISSION TO NO. 1-571-272-8300

TOTAL PAGES: 9

I hereby certify that this paper is being facsimile transmitted to the Commissioner for Patents, on the date noted below.

Al A

Dated: October 4, 2007

In the event that this Paper is late filed, and the necessary petition for extension of time is not filed concurrently herewith, please consider this as a Petition for the requisite extension of time, and to the extent not tendered by credit eard payment, authorization to charge the extension fee, or any other fee required in connection with this Paper to Account No. 06-1378.

It is respectfully requested that the Examiner consider the present IDS and the prior IDS filed September 29, 2006, and that initialed copies of the IDS Forms be returned to indicate that the documents listed therein have been considered and made of record.

Respectfully submitted,

Leonard Holt Reg. No. 224974

Dated: October 4, 2007

FRISHAUF HOLTZ GOODMAN & CHICK, P.C. 220 FIFTH AVENUE NEW YORK, N.Y. 10001-7708 Tel. No. (212) 319-4900 Fax No. (212) 319-5101 LH:djh